IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: David LEWIS, et al.

SERIAL NO: 10/766,857

GAU:

EXAMINER:

FILED:

January 30, 2004

PHARMACEUTICAL AEROSOL COMPOSITION CONTAINING HFA 227 AND HFA 134A

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS XANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Daniel R. Évans, Ph.D.

Registration No. 55,868

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

F PTO 1440		U.O. DEDARTMENT OF COMMERCE		ATTY DOCKET NO.		SERIAL NO.		
Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		248336US0DIV		10/766,857		
			APPLICANT					
O LIST OF REFERENCES CITED BY APPLICANT				David LEWIS, et al.				
				FILING DATE		GROUP		
APR 3 0 2004 &			January 30, 2004					
APR 3 0	0. 3.4.	1		U.S. PATENT DOCUMENTS				
Research and the control of the cont	6/	DOCUMENT	T	I STORY OF THE STO		SUB	FILING DATE	
AMINER OF		DOCUMENT NUMBER	DATE	NAME	CLASS	CLASS	IF APPROPRIATE	
	AA	6,716,414	04-06-04	LEWIS et al.				
	AB				<u> </u>			
	AC							
	AD							
	ΑE							
	AF							
	AG							
	АН							
	ΑI		1					
	AJ							
	AK							
	AL							
	AM							
	AN							
1		,,,,,	FC	PREIGN PATENT DOCUMENTS	<u> </u>			
T		DOCUMENT DATE		COUNTRY		TRANSLATION		
		NUMBER	DAIL	COOKING		YES		
	AO							
	AP							
	AQ							
	AR							
	AS							
	AT							
	AU							
	ΑV							
		OTHER R	EFERENCES	(Including Author, Title, Date, Pertinen	t Pages, e	tc.)		
	AW							
	AX							
	AY							
	AZ		•		☐ Add	itional Refe	erences sheet(s) attache	
Examiner		<u> </u>			Date Considered			
	Hal !£	reference in anneidere d	whather or a	ot citation is in conformance with MPEP 6	No. Drow !	ne through	citation if not in	
=xaminer: ini	iuai II l	eterence is considered of considered, include o	opy of this for	n with next communication to applicant.	UJ, DIAW II	ne unough	i orduon ii not iii	



LIST OF RELATED CASES

De aleat Neurolean	Serial or	Filing or	Inventor/
Docket Number	Patent Number	Issue Date	<u>Applicant</u>
4158-0014-0 PCT	09/147,669	02/24/99	LEWIS, et al.
204233US0 CONT	09/796,607	03/02/01	LEWIS, et al.
208770US0	6,716,414	04/06/04	LEWIS, et al.
208858US0 PCT	09/831,888	07/19/01	LEWIS, et al.
226160US0 PCT	10/204,307	11/13/02	LEWIS, et al.
227923US0 DIV	10/244,519	09/17/02	LEWIS, et al.
229934US0 PCT	10/275,891	04/22/03	LEWIS, et al.
230402US0 CONT	10/290,225	11/08/02	LEWIS, et al.
237392US0 CONT	10/435,032	05/12/03	LEWIS, et al.
237398US0 CONT	10/435,354	05/12/03	LEWIS, et al.
239770US0 DIV	10/612,067	07/03/03	LEWIS, et al.
239775US0 DIV	10/612,072	07/03/03	LEWIS, et al.
241155US0 CONT	10/640,005	08/14/03	LEWIS, et al.
248336US0 DIV*	10/766,857	01/30/04	LEWIS, et al.

^{*}Present Application; listed for information NFO/wal/amr